



# FCIA Professional Liability

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 800-842-3653 x223, Fax: 630-705-1056, [www.normanspencer.com/FCIAInsurance](http://www.normanspencer.com/FCIAInsurance),

**How to apply:** Complete application and return along with all attachments to the Administrator.

**Miscellaneous Professional Liability or A&E coverage is not bound until confirmed in writing.**

1. Business Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_  
 Proprietorship  Partnership  Corporation  Other

Telephone/Fax: \_\_\_\_\_ E-Mail: \_\_\_\_\_

2. Number of Offices: \_\_\_\_ (Provide addresses on branches.) 3. Date Established: \_\_\_\_\_

4. Number of Staff: \_\_\_\_\_ Last Year: \_\_\_\_\_ This Year: \_\_\_\_\_

Principals/Partners/Directors: \_\_\_\_\_

Other Licensed Professionals: \_\_\_\_\_

Other Staff: \_\_\_\_\_

Total Licensed Professionals: \_\_\_\_\_

5. Annual Staff Turnover: \_\_\_\_\_

6. Name all principals, partners, directors and employed professionals. (**Attach** extra sheet if needed.)

Full Name	Year Hired	Date Licensed/Designations	Professional Memberships

7.  Yes (**attach details**)  No Has/does the applicant plan to change name/merge with another firm?

8. Indicate the four states and the percentages where highest total billings occurred for the last year.

State	%	State	%	State	%	State	%
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**9a. Indicate total gross billings (collected or not) excluding revenues unrelated to professional services, such as reimbursable travel expense. New firms enter estimated total billings for next year.**

<b>Next Year \$</b>	<b>Current Year \$</b>	<b>Past Year \$</b>
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**9b. Provide breakdown of revenue by following activities and services.**

Construction/Install	Fire Barrier Management	Design Services	Surveying/Inspection
%	%	%	%

**9c. Provide average annual costs of goods sold.**

<b>Firestopping Supplies</b>	<b>\$</b>	<b>Insulation Supplies</b>	<b>\$</b>	<b>Other</b>	<b>\$</b>
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10 What percentage of total gross billings was derived from operations outside the United States? \_\_\_\_\_%

11. Describe the services you provide that you wish to insure. Attach company brochures, advertising materials, etc., that describe these services.

Percentage	Description

12. Provide the following Information on the 3 largest projects for the past five years.

Client	Project	Fees	Completed

13.  Yes  No Were more than 20% of Applicant's billings during the past fiscal year derived from a single client or contract? If yes, **attach details** including client, project(s) services rendered.

14.  Yes  No Does Applicant, subsidiary, parent or other organization related thereto, provide professional services as a partner in any joint venture projects established during last two complete fiscal years? If yes, **attach details** including project name, description, contract price, professional services performed by Applicant and other joint venture parties and the status of the project.

15. What services does the Applicant wish to have covered by the Professional Liability Insurance?

\_\_\_\_\_

16. List all professional services subcontracted by Applicant and percentage of total billings for each.

%	%	%	%
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17.  Yes  No Do you maintain current consultant certificates of insurance for professional liability?

18.  Yes  No Has Applicant or any director, officer, employee or partner of Applicant been subject to disciplinary action as a result of professional activities provided for Applicant? If yes, **attach details.**

19.  Yes  No Does Applicant use written contracts on every project? If no, **attach details.**

20. Indicate yes or no on the following. If any of the answers are yes, **attach details.**

a.  Yes  No After inquiry, have any claims or suits been made against Applicant, including all projects in the last five years?

b.  Yes  No After inquiry, is Applicant or any director, officer, employee or partner aware of any circumstances, allegations or contentions as to any incident which may result in a claim being made against Applicant?

c.  Yes  No Has insurance of this type for which Applicant is now applying ever been declined, cancelled or had the renewal thereof refused to the proposed insured in the last five years?

21. Indicate past two years professional liability insurance including predecessor firm coverage.

Carrier	Policy No.	Limits	Deductible	Premium	Effective

22. \_\_\_\_\_ Indicate retroactive coverage date in current policy.

23. Coverage Limits of Liability required:

\$ _____ any one claim*	\$ _____ self-insured retention ("deductible") each and every claim, including claim expenses.
\$ _____ annual aggregate*	

(\*includes claim expenses)

24. **Attach copies of your company's brochure and your standard written contract.**

**Warranty: I HEREBY DECLARE THAT, after inquiry of involved staff, the above statements and particulars are true. I have not suppressed or misstated any material fact and I agree that this shall become part of the policy issued by the Company.**

Authorized Signature		Date	
Printed Name		Title	

**Complete the following if applicable.**

25. \_\_\_\_% Indicate fees % of professional services rendered under AIA/EJCDC standard forms.

26.  Yes  No If non-standard or modified AIA/EJCDC contracts or "letter" agreements are used, are they reviewed by Applicant's legal counsel for liability implications prior to signing?

27. Enter the following services performed during the past 12 months. Total should equal 100%.

%	Architecture	%	Fire-rated Door Inspection
%	Existing Building Reconstruction	%	Fire Damper Inspection
%	Construction Management	%	Barrier Surveys
%	Firestop Survey	%	Fire Rated Glazing
%	Firestop Inspection	%	Structural Engineering
%	Forensic Engineering	%	Other (describe below*)
%	Horizontal Assembly Surveying		

\*Describe Other \_\_\_\_\_

28. Indicate types of projects as a percentage of Applicant's billings.

	Last Yr	This Yr		Last Yr	This Yr
Hotels/Motels/Convention Centers	%	%	Warehouses	%	%
Office Building/Retail Outlets	%	%	Other Residential	%	%
Hospitals, Nursing Homes, Health Care	%	%	Manufacturing/Industrial Facilities	%	%
Schools/Colleges/Recreational	%	%	Other (describe below)	%	%
Sports Arenas/Stadiums	%	%	Total	100%	100%
Condominiums	%	%	Describe:		

29. Client Profile – Indicate percentage of billings derived from each of the following categories.

Contractors	%	Real Estate Developers	%	Local Governments	%
Other Design Professionals	%	Lending Institutions	%	Other _____	%
Commercial	%	Federal Governments	%	Other _____	%
Building Owners/Managers	%	State Governments	%	Total	100%

